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CLIENT/MATTER NAME	U.S. Patent Appln. No. 09/668,547
CLIENT/MATTER NO.	474712/0005

## MESSAGE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eugene Lawrence Podsiadlo

Art Unit No.: 3624

Application No.: 09/668,547

Examiner: Thu Thao Havan

Filed: September 25, 2000

For: COMMUNICATION NETWORK BASED SYSTEM AND METHOD  
FOR AUCTIONING SHARES OF AN INVESTMENT PRODUCT

Enclosed please find:

1. Certificate of Transmission (1 pg.);
2. Request for Continued Examination (RCE) Transmittal  
(PTO/SB/30) (1 pg.)

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Docket No.  
474712/0005  
(10316-003-999)  
IGD/JL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Eugene Lawrence Podsiadlo

Group Art Unit: 3624

Application No.: 09/668,547

Examiner: Thu Thao Havan

Filed: September 25, 2000

For: **COMMUNICATION NETWORK BASED SYSTEM AND METHOD FOR  
AUCTIONING SHARES OF AN INVESTMENT PRODUCT**

Date: January 11, 2006

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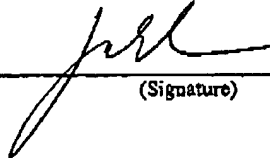
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Request  
for  
Continued Examination (RCE)  
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Alexandria, VA 22313-1450

Application Number	09/668,547
Filing Date	September 25, 2000
First Named Inventor	Eugene Lawrence Podsiadlo
Art Unit	3624
Examiner Name	Thu Thao Havan
Attorney Docket Number	474712/0005 (10361-003-999)

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☒ Other Amendment filed November 21, 2005

b. ☐ Enclosed

i. ☐ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 19-4709. I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e) \$ 395.00

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) \$

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iii. ☐ Other 01 FC:2801 395.00 DA

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature	<i>Ian G. DiBernardo</i>	Date	1-11-06
Name (Print/Type)	Ian G. DiBernardo, Reg. # 40,991 for Steven B. Pokotilow	Registration No.	26,405

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Signature	<i>Jeong Eun Lee</i>
Name (Print/Type)	Jeong Eun Lee
Date	January 11, 2006

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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